



Information Notice in accordance with articles 13 and 14 of Regulation (EU) 2016/679 and current national legislation on personal data protection

In accordance with Regulation EU 2016/679 (hereinafter the "GDPR Regulation" or the "GDPR") and current national legislation in force on the protection of personal data (hereinafter, together with the GDPR, the "Privacy Legislation"), Mediobanca – Banca di Credito Finanziario S.p.A., with registered office in Milan, at Piazzetta Enrico Cuccia, 1 (hereinafter the "Bank" or the "Controller"), as Data Controller, is required to provide an Information notice on the use of personal data.

The personal data in the Bank's possession are normally collected directly from the data subject and may occasionally come from third parties (e.g. in connection with credit or debit transactions arranged) or from other subjects or when the Controller acquires data from external Companies for commercial purposes, for market research, direct offers of products or services or when your data are acquired through public and private databases (e.g. land registry/mortgage searches, adverse entry/protest searches, risk registers, etc.).

The processing concerns personal information relating to the data subject, which is processed when entering into a contract with the Bank, as well as in the stages prior to the establishment of the contractual relationship and up to its conclusion. This information is considered personal data pursuant to the GDPR insofar as it relates to a natural person. Data are also to be considered personal data where, in the case of a legal person, they relate directly or indirectly to identified or identifiable natural persons (e.g. directors, employees, managers, collaborators or consultants of such legal person).

a) Purposes of the processing and compulsory nature of data conferral

All personal data are processed in compliance with provisions of law and confidentiality obligations, for activities that are strictly connected and instrumental to the following purposes:

- I. purposes connected to obligations laid down by the law, regulations or European Community rules, as well as instructions given by competent supervisory and oversight authorities or bodies. Amongst other things, in compliance with the provisions of Consob Regulation no. 20307 of 15 February 2018, the Bank carries out full recording and storage for the established regulatory terms of a) incoming and outgoing conversations on telephone lines dedicated to receiving customer orders and b) data traffic deriving from the use of electronic communication systems or integrated platforms in use at the Bank for order management. The provision of personal data for these purposes **is mandatory**. Failure to provide the data will prevent the Bank from performing the activity you requested, which assumes the fulfilment of a legal obligation by the Bank.
- II. purposes connected to the fulfilment of the requirements set forth in article 83-duodecies of Legislative Decree no. 58 of 24 February 1998, as amended ("Identification of Shareholders"), and arts. 135 et seq. of Consob Regulation no. 11971 of 14 May 1999, as



amended ("Solicitation of proxies"), and art. 26-bis of the joint Bank of Italy-Consob Regulation of 22 February 2008 ("Identification of holders of financial instruments"), pursuant to which the Bank must notify requesting issuing companies, requesting centralised management companies or entities promoting a solicitation of proxies, of the identifying data of the holders of shares and/or bonds of the issuing companies registered on the administered deposits in their name, from which voting rights also derive, in relation to which the Bank acts as the ultimate intermediary, as well as the number of such financial instruments. The provision of personal data for these purposes **is mandatory** unless the above-mentioned holders of shares and/or bonds expressly refuse such notification.

- III. purposes strictly connected and instrumental to the performance of contractual obligations, fulfilment of specific requests of the data subject prior to the conclusion of the contract. The provision of personal data for these purposes **is mandatory** as it is necessary for the fulfilment of obligations arising from the contract (i.e. completion of the MiFID questionnaire, etc.). Failure to provide your data will make it impossible for the Bank to meet your pre-contractual/contractual requests and to perform the contract.
- IV. purposes connected to any corporate events (sale of the Bank or business units), due diligence or for the defence of legal claims and in relation to the associated preparatory activities (i.e. warnings and activities required for forced debt collection, etc.). If the prerequisites are met, the data subject may still exercise the right to object as set out below.
- V. purposes of sending invitations to events or for institutional communication purposes (both on limited occasions). If the prerequisites are met, the data subject may still exercise the right to object as set out below.

b) Legal basis

The legal basis for processing pursuant to points I) and II) above is the fulfilment of the legal obligations assigned to the Bank.

The legal basis for processing pursuant to point III) above is the need to perform the contract concluded with the Bank.

The legal basis for processing pursuant to point IV) above is the Bank's legitimate interest in the continuation of its business activities and the protection of its rights.

The legal basis for processing pursuant to point V) above is the legitimate interest in maintaining the relationship with the data subject and providing institutional information.

c) Processing method

The processing of your personal data shall take place, in compliance with the provisions of Privacy Legislation, by means of hard copy, computer or electronic tools, with approaches strictly related to the purposes specified and, in any case, with methods suitable to guarantee security and confidentiality in accordance with Privacy Legislation.

d) Categories of data processed



In relation to the above purposes, the Bank processes your personal data including, but not limited to, personal details (such as, for example, name, surname, address, telephone number, email address, date of birth, identity card, health card, tax code, marital status, nationality, customer code), data relating to credit applications/relationships and accounting data. In addition, information concerning events related to the performance of the contract may be processed if it relates directly or indirectly to the data subjects.

e) Disclosure and dissemination of data

For the pursuit of the purposes described in point a) above, your personal data will be made known to employees of the Bank who will act as authorised data processors.

Your data may also be disclosed to:

- a) other companies belonging to the Group;
- b) subjects that provide support services for the execution of your instructions and for the management of payment services, debit and credit cards, tax collection and treasury services;
- c) subjects engaged in the issue, offer, placement, trading and custody of banking, financial and insurance products and/or services;
- d) subjects that support credit screening, evaluation, disbursement, collection and insurance activities;
- e) subjects that handle the enveloping, mailing and archiving of documents relating to customer relationships;
- f) other subjects on which the Bank relies in various capacities for the provision of the requested product or service;
- g) subjects that provide services for the management of the Bank's IT system;
- h) subjects that provide professional tax, legal and judicial advisory and assistance services;
- i) subjects that audit and certify the financial statements;
- j) supervisory and oversight authorities and bodies and, in general, public or private entities with public functions in the event of a request from them or in the event of a legal obligation;
- k) third parties acting as providers of payment order instruction or account information services;
- l) subjects that identify financial risks in order to prevent the risk of default such as, for example, the Bank of Italy;
- m) subjects that manage national and international systems to control fraud against banks and financial intermediaries;
- n) archive set up at the Ministry of Economy and Finance (hereinafter MEF), pursuant to arts. 30-ter, paragraphs 7 and 7-bis, and 30-quinquies, of Legislative Decree no. 141 of 13 August 2010, exclusively for the purpose of preventing identity theft. The results of the data authenticity verification procedure will not be disclosed, but may be communicated to Supervisory and Oversight Authorities and Bodies;
- o) subjects that are members of the Interbank Corporate Banking Consortium (CBI) and/or subjects that provide the related services;



- p) in order to carry out international financial transactions and some specific domestic transactions requested by customers, it is necessary to use the international messaging service managed by SWIFT (Society for Worldwide Interbank Financial Telecommunication), which temporarily stores a copy of all the data necessary for the execution of transactions (e.g. name of the originator, beneficiary, bank details, amount, etc.). These personal data are stored on a company server located in the United States. This site can be accessed by the competent US authorities (in particular, the Treasury Department) for counter-terrorism purposes (see <http://www.swift.com> for the data protection information notice);
- q) other financial intermediaries belonging to the Group in the event that the transactions you carry out are deemed "suspicious" pursuant to anti-money laundering legislation.

The complete and up-to-date list of the Bank's data processors is available at www.mediobanca.com in the "Privacy" section.

Your personal data will only be transferred outside the European Union if a European Commission adequacy decision or other appropriate safeguards established by Privacy Legislation are in place (including binding corporate rules and standard protection clauses).

The personal data processed by the Bank are not subject to dissemination.

f) Data retention

In compliance with principles of proportionality and need, personal data will be kept in a manner that enables the data subjects to be identified for no longer than the period necessary to achieve the purposes for which they are processed, consistent with the principles of sound and prudent management, or rather considering:

- ◆ the need to continue to store the personal data collected to offer the services agreed upon with the user or to protect the legitimate interests of the Controller, as described in the above purposes, in compliance with the assessments performed by Mediobanca;
- ◆ the existence of specific credit, legal and reputational risks, as well as regulatory obligations (codes, money laundering legislation, investment services legislation, tax monitoring legislation, regulatory technical standards of the European Banking Authority, etc.) or contractual obligations making the processing and storage of data necessary for specific periods of time.

In any case, for all of the processing purposes specified, the storage periods established by law will be respected, except in the event of interruptions (e.g. lawsuit, pending complaint) and unless the law in force pro tempore establishes different terms.

g) Rights of the data subject

Data subjects shall have the right to obtain confirmation from the controller at any time as to whether or not personal data concerning them are being processed, and to know the relative content and source, verify that they are correct or request that they be supplemented or updated or amended (articles 15 and 16 of the GDPR).



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Moreover, data subjects shall have the right to request erasure, the restriction of processing, revocation of consent (when received), data portability and submit a complaint to the supervisory authority and object, in any case, on legitimate grounds, to their processing (articles 17 et seq. of the GDPR).

Data subjects in France also have the right to give instructions to the Data Controller regarding the use of their data after their death.

These rights can be exercised by sending a written communication to: privacy@mediobanca.com

The Controller, directly or through designated facilities, shall process your request and provide you, without undue delay, with full information on the action taken with regard to your request.

h) Data Controller and Data Protection Officer

The Data Controller is Mediobanca – Banca di Credito Finanziario S.p.A., with registered office in Milan, at Piazzetta Enrico Cuccia 1.

Mediobanca has appointed a Data Protection Officer.

The Data Protection Officer can be contacted at:

- ◆ DPO.mediobanca@mediobanca.com
- ◆ dpomediobanca@pec.mediobanca.com

MEDIOBANCA – BANCA DI CREDITO FINANZIARIO S.p.A.

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OBJECTION TO THE PROCESSING OF PERSONAL DATA

Lastly, pursuant to article 83-duodecies of Legislative Decree no. 58 of 24 February 1998, as amended ("Identification of Shareholders"), and arts. 135 et seq. of Consob Regulation no. 11971 of 14 May 1999, as amended ("Solicitation of proxies"), and art. 26-bis of the joint Bank of Italy-Consob Regulation of 22 February 2008 ("Identification of holders of financial instruments"), the Bank will notify requesting issuing companies, requesting centralised management companies or entities promoting a solicitation of proxies, of the identifying data of the holders of shares and/or bonds of the issuing companies registered on the administered deposits in their name, from which voting rights also derive, in relation to which the Bank acts as the ultimate intermediary, as well as the number of such financial instruments, unless the above-mentioned holders of shares and/or bonds indicate their express refusal to such notification in the box below:

To this end,

I object

to the communication of my identifying data and the number of shares registered on the administered deposits in my name for the above-mentioned purposes to the above-mentioned parties.

Any permanent instructions regarding the communication mentioned above may be revoked at any time by means of written notice to be sent to the Bank certifying the express refusal.

Data and signature of the data subject
